

MASSACHUSETTS STATE ETHICS COMMISSION
ONE ASHBURTON PLACE - RM 619
BOSTON MA 02108-1501
(617) 371-9500

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STATEMENT OF FINANCIAL INTERESTS FOR CALENDAR YEAR 2006

Please provide the requested information. As required by G.L. c. 268B, the Financial Disclosure Law, you must answer all questions to the best of your knowledge. If your answer to any question is "none" or if any question is not applicable to you, check "Not Applicable". If extra space is needed to complete a response, attach additional pages, clearly noting to which question the information relates.

1: Reporting Data

| | |
|---|------------------|
| Person Reporting: | Deval L. Patrick |
| Address: | |
| City: | |
| State: | |
| Zip: | |
| Office Phone: | |
| Fax Number: | |
| Email: | |
| Name of spouse residing in your household: | |
| Names of dependent children residing in your household: | |

2: Candidate: I am a candidate for the following office:

☒ Not Applicable

3: Positions Held

| | |
|----------------------------------|--|
| Agency in which you served: | Executive |
| Your Position: | Governor-Elect |
| Start Date: | January 4, 2007 |
| End Date if Applicable: | |
| Amount of Income Earned in 2006: | <input checked="" type="checkbox"/> Income Not Applicable for 2006 |

May 24, 2007

4: Other Government Position(s)

✓ Not Applicable

5: Employment and Other Associations with Businesses and Non-Governmental Entities
(Including Non-Profit Organizations)

| | |
|-----------------------------------|---|
| Name of Business: | The Coca-Cola Company |
| Address: | One Coca-Cola Plaza P.O. Box 1734 Atlanta, GA 30301 |
| Position Held: | Former Senior Executive |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | \$100,000 or more (actual 2006 - \$348,445) |

| | |
|-----------------------------------|--|
| Name of Business: | ACC Capital Holdings Inc. |
| Address: | 1100 Town and Country Road Orange, CA 92868 |
| Position Held: | Former Director (Resigned) |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | \$100,000 or more (actual 2006 - \$150,662) |

| | |
|-----------------------------------|---|
| Name of Business: | Reebok International Ltd. |
| Address: | 1895 J. W. Foster Boulevard Canton, MA 02021 781-401-5000 |
| Position Held: | Former Director (Resigned 4/28/05) |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | \$100,000 or more (actual 2006 - \$209,804) |

| | |
|-----------------------------------|--|
| Name of Business: | Texaco Group LLC |
| Address: | P.O. Box 6041 San Ramon, CA 94583 |
| Position Held: | Former Senior Executive (Resigned in 2001) |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | \$1,001 to 5,000 (actual 2006 - \$2,174) |

May 24, 2007

| | |
|-----------------------------------|--|
| Name of Business: | Abt Associates Inc. |
| Address: | 55 Wheeler Street Cambridge, MA 02138 |
| Position Held: | Former Director |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | Less than \$1,001 (actual 2006 - \$225) |

| | |
|-----------------------------------|--|
| Name of Business: | St. Andrew's School |
| Address: | 350 Noxontown Road Middletown, DE 19709 |
| Position Held: | Trustee |
| Filer or Immediate Family member: | Filer |
| Gross Income (Filer Only): | Less than \$1,001 (actual 2006 - none) |

| | |
|-----------------------------------|---|
| Name of Business: | Ropes & Gray |
| Address: | One International Place Boston, MA 02110 |
| Position Held: | Partner |
| Filer or Immediate Family member: | Immediate Family Member |
| Gross Income (Filer Only): | |

| | |
|-----------------------------------|---------------------------------------|
| Name of Business: | United Way of Massachusetts Bay |
| Address: | 51 Sleeper Street Boston, MA 02210 |
| Position Held: | Trustee |
| Filer or Immediate Family member: | Immediate Family Member |
| Gross Income (Filer Only): | |

6. Business Ownership/Equity

✓ Not Applicable

7. Transfer of Ownership/Equity Interests

✓ Not Applicable

May 24, 2007

8: Leaves of Absence

✓ Not Applicable

9: Gifts

✓ Not Applicable

10: Honoraria:

✓ Not Applicable

11: Reimbursements:

✓ Not Applicable

12: Commonwealth of Massachusetts: State or Local Government Securities

✓ Not Applicable

13: Securities and Investments

(See list attached at end of Statement of Financial Interests.)

14: Creation of Business and Charitable Trusts

✓ Not Applicable

15: Business and Charitable Trust Holdings

✓ Not Applicable

16: Family Trusts

(See list attached at end of Statement of Financial Interests.)

17: Creation of Realty Trusts

✓ Not Applicable

May 24, 2007

18: Realty Trust: Real Property Holdings

✓ Not Applicable

19: Realty Trust: Mortgage Obligations

✓ Not Applicable

20: Trusts: Purchases/Transfers of Property (In Massachusetts Only)

✓ Not Applicable

21: Trusts: Sales/Transfers of Property (In Massachusetts Only)

✓ Not Applicable

22: Real Property Owned

| | |
|---------------------------|---------------------------------|
| Address: | [REDACTED] |
| Description of Property: | Principal residence |
| Persons Holding Interests | |
| Record Owners: | Deval L. Patrick and [REDACTED] |
| Assessed Value: | \$100,000 + |

(See also properties listed in Question 23.)

23: Investment and Rental Properties

| | |
|---------------------------|---------------------------------|
| Address: | [REDACTED] |
| Description of Property: | Personal residence on 77 acres |
| Persons Holding Interests | |
| Record Owners: | Deval L. Patrick and [REDACTED] |
| Assessed Value: | \$100,000 + |

| | |
|---------------------------|---------------------------------|
| Address: | [REDACTED] |
| Description of Property: | Stone Cottage on 14 acres |
| Persons Holding Interests | |
| Record Owners: | Deval L. Patrick and [REDACTED] |
| Assessed Value: | \$100,000 + |

24: Real Property Acquisitions in Massachusetts during 2006

✓ Not Applicable

25: Real Property Sales in Massachusetts during 2006

✓ Not Applicable

26: Mortgage Loan Information

| | |
|---|--|
| Primary Residence - Address of Property: | [REDACTED] |
| Creditor Name: | SunTrust Banks |
| Creditor Address: | 303 Peachtree Street NE Atlanta, GA 30308 |
| Terms of Repayment Interest Rate: | 30-year, ARM note at 4.875% |
| Year Mortgage Due or Terminated: | 2033 |

| | |
|---|---|
| Primary Residence - Address of Property: | [REDACTED] |
| Creditor Name: | Bank of America |
| Creditor Address: | 100 Federal Street Boston, MA 02110 |
| Terms of Repayment Interest Rate: | Home Equity Line of Credit, variable rate |
| Year Mortgage Due or Terminated: | Revolving Line of Credit |

| | |
|---|---|
| Address of Property: | [REDACTED] |
| Creditor Name: | Berkshire Bank |
| Creditor Address: | 24 North Street Pittsfield, MA 01202 |
| Original Amount Borrowed (Filer Only): | \$100,000 + |
| Amount Owed: | \$100,000 + |
| Terms of Repayment Interest Rate: | 30-year, ARM note at 4.99% |
| Year Mortgage Due or Terminated: | 2036 |

May 24, 2007

| | |
|---|---|
| Address of Property: | |
| Creditor Name: | Berkshire Bank |
| Creditor Address: | 24 North Street Pittsfield, MA 01202 |
| Original Amount Borrowed (Filer Only): | \$100,000 + |
| Amount Owed: | \$100,000 + |
| Terms of Repayment Interest Rate: | 30-year, ARM note at 5.5% |
| Year Mortgage Due or Terminated: | 2035 |

27: Mortgage Receivable Information

✓ Not Applicable

28: Other Creditor Information (each debt, loan, or other liability owed by you and/or any immediate family member)

| | |
|---|--|
| Creditor Name: | UBS Bank USA |
| Creditor Address: | 299 South Main Street - Suite 2275 Salt Lake City, UT 84111 |
| Original Amount Borrowed (Filer Only): | \$100,000 + |
| Amount Owed (Filer Only): | \$100,000 + |
| Terms of Repayment, Interest Rate: | Line of Credit, Variable Rate |
| Year Due or Terminated: | Revolving Line of Credit |
| Loan Collateral: | Securities Held at UBS Financial Services Inc. |

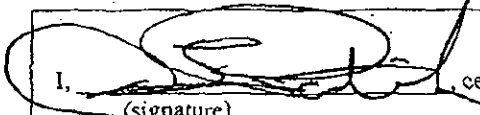
| | |
|---|---|
| Creditor Name: | UBS Financial Services Inc. |
| Creditor Address: | 1000 Harbor Boulevard, 7 th Floor Weehawken, NJ 07086 |
| Original Amount Borrowed (Filer Only): | \$40,001 to \$60,000 |
| Amount Owed (Filer Only): | \$40,001 to \$60,000 |
| Terms of Repayment, Interest Rate: | Line of Credit, Variable Rate |
| Year Due or Terminated: | Managed Account Closed on 2/5/07 |
| Loan Collateral: | Securities Held at UBS Financial Services Inc. |

29: Debts Forgiven

✓ Not Applicable

May 24, 2007

30: Certification

I, , certify that:
(signature)

- I made a reasonably diligent effort to obtain reportable information concerning myself and IMMEDIATE FAMILY MEMBERS; and
- The information contained on this form is true and complete, to the best of my knowledge.

Submitted under the pains and penalties of perjury 25 May 2007
(date)

The following Immediate Family Members declined to disclose information which is necessary to complete this Statement fully and accurately:

(Not Applicable)

The following is/are the specific Question(s) for which answers were declined by each Immediate Family Member:

(Not Applicable)

May 24, 2007

Attachment to Statement of Financial Interests Question 13: Securities & Investments

| | <u>Issuer</u> | <u>Description</u> | <u>Owner</u> |
|----|--|--------------------|---------------|
| 1 | COCA-COLA COMPANY | Common Stock | Family Member |
| 2 | COMCAST CORP | Common Stock | Family Member |
| 3 | MERRILL LYNCH & CO INC | Common Stock | Family Member |
| 4 | CALAMOS GROWTH & INCOME FUND | Mutual Fund | Family Member |
| 5 | LEGG MASON PTNRS AGGRESSIVE GROWTH FD | Mutual Fund | Family Member |
| 6 | LEGG MASON PTNRS FUNDAMENTAL VALUE FD | Mutual Fund | Family Member |
| 7 | LEGG MASON PTNRS LARGE CAP GROWTH FD | Mutual Fund | Family Member |
| 8 | LEGG MASON PTNRS INT'L ALL-CAP OPPORTUNITY | Mutual Fund | Family Member |
| 9 | SELIGMAN COMMUNICATIONS & INFORMATION FD | Mutual Fund | Family Member |
| 10 | SSB AAA ENERGY FUTURES FUND II LP | Partnership | Filer |

Attachment to Statement of Financial Interests Question 16:

Trust Owned Securities & Investments

| | <u>Issuer</u> | <u>Description</u> | <u>Bene- ficiary</u> |
|----|------------------------------------|--------------------|--------------------------|
| 1 | ADOBE SYSTEMS INC | Common Stock | Filer |
| 2 | AMERICAN EXPRESS CO | Common Stock | Filer |
| 3 | AMERICAN FINANCIAL GROUP INC | Common Stock | Filer |
| 4 | AMERICAN TOWER CORP | Common Stock | Filer |
| 5 | AMERICREDIT CORP | Common Stock | Filer |
| 6 | AMERIGROUP CORP | Common Stock | Filer |
| 7 | AMERISOURCEBERGEN CORP | Common Stock | Filer |
| 8 | ARCH COAL INC | Common Stock | Filer |
| 9 | AUTODESK INC | Common Stock | Filer |
| 10 | AUTONATION INC | Common Stock | Filer |
| 11 | BANK OF AMERICA CORP | Common Stock | Filer |
| 12 | BIG LOTS INC | Common Stock | Filer |
| 13 | BMC SOFTWARE INC | Common Stock | Filer |
| 14 | BROCADE COMMUNICATIONS SYSTEMS INC | Common Stock | Filer |
| 15 | BROOKFIELD ASSET MANAGEMENT INC | Common Stock | Filer |
| 16 | CAPITAL ONE FINANCIAL CORP | Common Stock | Filer |
| 17 | CAREER EDUCATION CORP | Common Stock | Filer |
| 18 | CHAPARRAL STEEL CO | Common Stock | Filer |
| 19 | CHUBB CORP | Common Stock | Filer |
| 20 | CISCO SYSTEMS INC | Common Stock | Filer |
| 21 | CITIGROUP INC | Common Stock | Filer |
| 22 | COOPER INDUSTRIES LTD | Common Stock | Filer |
| 23 | COPART INC | Common Stock | Filer |
| 24 | COVENTRY HEALTHCARE INC | Common Stock | Filer |
| 25 | CSG SYSTEMS INTERNATIONAL INC | Common Stock | Filer |

Deval L. Patrick
Statement of Financial Interests

May 24, 2007

| | | | |
|----|---|--------------|-------|
| 26 | CUMMINS INC | Common Stock | Filer |
| 27 | DARDEN RESTAURANTS INC | Common Stock | Filer |
| 28 | DENBURY RESOURCES INC NEW | Common Stock | Filer |
| 29 | DENTSPLY INTERNATIONAL INC | Common Stock | Filer |
| 30 | DOMINO'S PIZZA INC | Common Stock | Filer |
| 31 | ECOLAB INC | Common Stock | Filer |
| 32 | EMCOR GROUP INC | Common Stock | Filer |
| 33 | EMULEX CORP | Common Stock | Filer |
| 34 | ENBRIDGE INC | Common Stock | Filer |
| 35 | ENTERPRISE PRODUCTS PARTNERS LP | Partnership | Filer |
| 36 | EVERGREEN ENERGY INC | Common Stock | Filer |
| 37 | EXPEDITORS INTERNATIONAL WASHINGTON INC | Common Stock | Filer |
| 38 | EXXON MOBIL CORP | Common Stock | Filer |
| 39 | FIRST MARBLEHEAD CORP | Common Stock | Filer |
| 40 | FREEPORT-MCMORAN COPPER & GOLD INC | Common Stock | Filer |
| 41 | GENERAL ELECTRIC CO | Common Stock | Filer |
| 42 | GROUP 1 AUTOMOTIVE INC | Common Stock | Filer |
| 43 | GYMBOREE CORP | Common Stock | Filer |
| 44 | HERCULES INC | Common Stock | Filer |
| 45 | HEWLETT PACKARD CO | Common Stock | Filer |
| 46 | HOME DEPOT INC | Common Stock | Filer |
| 47 | HUMANA INC | Common Stock | Filer |
| 48 | IMMUCAR INC | Common Stock | Filer |
| 49 | ISTAR FINANCIAL INC | Common Stock | Filer |
| 50 | ITT EDUCATIONAL SERVICES INC | Common Stock | Filer |
| 51 | JONES APPAREL GROUP INC | Common Stock | Filer |
| 52 | JP MORGAN CHASE & CO | Common Stock | Filer |
| 53 | KANSAS CITY SOUTHERN NEW | Common Stock | Filer |
| 54 | KING PHARMACEUTICALS INC | Common Stock | Filer |
| 55 | KOHL'S CORP | Common Stock | Filer |
| 56 | LEXMARX INTERNATIONAL INC | Common Stock | Filer |
| 57 | LINCOLN NATIONAL CORPORATION IND | Common Stock | Filer |
| 58 | LOCKHEED MARTIN INC | Common Stock | Filer |
| 59 | MARVEL ENTERTAINMENT INC | Common Stock | Filer |
| 60 | MCAFEE INC | Common Stock | Filer |
| 61 | MCGRAW HILL COMPANIES INC | Common Stock | Filer |
| 62 | MENTOR CORP MINN | Common Stock | Filer |
| 63 | MENTOR GRAPHICS CORP | Common Stock | Filer |
| 64 | METLIFE INC | Common Stock | Filer |
| 65 | MICROSOFT CORP | Common Stock | Filer |
| 66 | MOTOROLA INC | Common Stock | Filer |
| 67 | NBTY INC | Common Stock | Filer |
| 68 | NEWELL RUBBERMAID INC | Common Stock | Filer |
| 69 | OFFICE DEPOT INC | Common Stock | Filer |
| 70 | PACTIV CORP | Common Stock | Filer |
| 71 | PAYLESS SHOESOURCE INC | Common Stock | Filer |
| 72 | PENTAIR INC | Common Stock | Filer |
| 73 | RAYTHEON CORP NEW | Common Stock | Filer |
| 74 | RENT-A-CENTER INC | Common Stock | Filer |

Deval L. Patrick
Statement of Financial Interests

May 24, 2007

| | | | |
|----|-------------------------------------|--------------|-------|
| 75 | SEMPRA ENERGY | Common Stock | Filer |
| 76 | SNAP-ON INC | Common Stock | Filer |
| 77 | ST. JOE CORP | Common Stock | Filer |
| 78 | SUNCOR INC | Common Stock | Filer |
| 79 | TEREX CORP DELAWARE NEW | Common Stock | Filer |
| 80 | TESORO CORP | Common Stock | Filer |
| 81 | TEXAS INSTRUMENTS | Common Stock | Filer |
| 82 | VORNADO REALTY TRUST | REIT | Filer |
| 83 | WELLCARE HEALTH PLANS INC | Common Stock | Filer |
| 84 | WHITE MOUNTAINS INSURANCE GROUP LTD | Common Stock | Filer |
| 85 | WR BERKLEY CORP | Common Stock | Filer |